

# A REMARK ON SUMSETS IN $\mathbb{F}_q^n$

JORDAN S. ELLENBERG

In this note, we explain how the method of [CLP16] and [EG16] can be extended to show that the sumset  $S+T$  of two large subsets  $S$  and  $T$  of  $\mathbb{F}_q^n$  can be expressed “more efficiently” as a union of sumsets of smaller subsets.

Write  $M(\mathbb{F}_q^n)$  be the upper bound proved in [EG16] for the size of a subset of  $\mathbb{F}_q^n$  with no three-term arithmetic progressions; that is,  $M(\mathbb{F}_q^n)$  is the number of monomials in  $x_1, \dots, x_n$  with degree at most  $(q-1)$  in each variable and total degree at most  $(q-1)n/3$ . For each  $q$ , the bound  $M(\mathbb{F}_q^n)$  is bounded above by  $c^n$  for some  $c < q$ .

**Theorem 1.** *Let  $\mathbb{F}_q$  be a finite field and let  $S, T$  be subsets of  $\mathbb{F}_q^n$ . Then there is a subset  $S'$  of  $S$  and a subset  $T'$  of  $T$  such that*

- $|S'| + |T'| \leq M(\mathbb{F}_q^n)$ ;
- $S + T = (S' + T) \cup (S + T')$ .

**Corollary 2.** *Let  $S$  be a subset of  $\mathbb{F}_q^n$ . Then  $S$  has a subset  $S'$  of size at most  $M(\mathbb{F}_q^n)$  such that  $S' + S = S + S$ .*

*Proof.* By Theorem 1 there are subsets  $S_1$  and  $S_2$  of  $S$  such that  $S + S = (S_1 + S) \cup (S + S_2)$  and  $|S_1| + |S_2| \leq M(\mathbb{F}_q^n)$ . Taking  $S'$  to be  $S_1 \cup S_2$  we are done.  $\square$

The bound proved in [EG16] on subsets of  $\mathbb{F}_q^n$  with no three terms in arithmetic progression is also an immediate consequence.

**Corollary 3.** *A subset  $S$  of  $\mathbb{F}_q^n$  containing no three-term arithmetic progression has size at most  $M(\mathbb{F}_q^n)$ .*

*Proof.* If  $S$  has no 3-term arithmetic progression, then  $S' + S$  is strictly smaller than  $S + S$  for every proper subset  $S' \subset S$  (because  $S' + S$  fails to contain  $2s$  if  $s$  lies in the complement of  $S'$ .) Thus, the subset  $S'$  guaranteed by Corollary 2 must be equal to  $S$ , whence  $|S| = |S'| \leq M(\mathbb{F}_q^n)$ .  $\square$

We now prove Theorem 1.

*Proof.* Let  $V$  be the space of polynomials in  $\mathbb{F}_q[x_1, \dots, x_n]$  with degree at most  $(q-1)$  in each variable and total degree at most  $d$ , which vanish on the complement of  $S + T$ . Then  $\dim V$  is at least  $m_d - q^n + |S + T|$ . Write  $\mathcal{M}$  for the space of linear functions from the  $\mathbb{F}_q$ -vector space with basis  $S$  to the  $\mathbb{F}_q$ -vector space with basis  $T$ ; this can be identified, if we wish, with the space of  $|S| \times |T|$  matrices.

For each  $P \in V$  we may consider  $M(P) \in \mathcal{M}$  whose entries are  $P(s+t)_{s \in S, t \in T}$ . By the argument of the Croot-Lev-Pach lemma [CLP16] this matrix has rank at most  $2m_{d/2}$ .

Note that  $M$  is an homomorphism from  $V$  to  $\mathcal{M}$ , which is injective: if  $P$  lies in the kernel, it vanishes at  $S+T$ , but  $P$  vanishes on the complement of  $S+T$  by hypothesis, so  $P$  vanishes on every point of  $\mathbb{F}_q^n$  and is 0.

We thus can, and do, think of  $V$  as a vector subspace of  $\mathcal{M}$  of dimension at least  $m_d - q^n + |S+T|$ , each of whose members has rank at most  $2m_{d/2}$ .

In order to derive the desired conclusion, we use a theorem of Meshulam [Mes85], which gives lower bounds for the maximum rank attained in a linear space of matrices. Choose an ordering on  $S$  and an ordering on  $T$ . These choices endow the entries of a matrix in  $\mathcal{M}$  with a lexicographic order. If  $A \in \mathcal{M}$  is a matrix, we denote by  $p(A) \in S \times T$  the location of the lexicographically first nonzero entry of  $A$ .

We note that  $p(M(P))$  cannot be an arbitrary element of  $S \times T$ , since  $M(P)$  has equal entries at  $(s, t)$  and  $(s', t')$  whenever  $s + t = s' + t'$ . In particular, for each  $u \in \mathbb{F}_q^n$ , write  $\phi(u)$  for the lexicographically first  $(s, t)$  such that  $s + t = u$ ; then, for each  $P \in V$ , we have  $p(M(P)) = \phi(u)$  for some  $u \in \mathbb{F}_q^n$ .

By Gaussian elimination, we can find a basis  $A_1, \dots, A_{\dim V}$  for  $V$  such that  $p(A_1), \dots, p(A_{\dim V})$  are distinct.

We now apply Meshulam's theorem [Mes85, Theorem 1], which shows that there is a set of  $2m_{d/2}$  lines (a line being a row or a column) whose union contains  $p(A_i)$  for all  $i$ .

This set of lines consists of a subset of  $S$ , which we call  $S_0$ , and a subset of  $T$ , which we call  $T_0$ , satisfying  $|S_0| + |T_0| = 2m_{d/2}$ .

We now have, for  $i = 1, \dots, \dim V$ ,

$$p(A_i) = (s_i, t_i)$$

with either  $s_i \in S_0$  or  $t_i \in T_0$ . What's more,  $s_i + t_i$  and  $s_j + t_j$  are distinct whenever  $i$  and  $j$  are. So the union of  $S_0 + T$  with  $S + T_0$  contains at least  $\dim V$  elements of  $S + T$ .

Since  $\dim V \geq m_d - q^n + |S + T|$ , the set  $U$  of elements of  $S + T$  not contained in  $(S_0 + T) \cup (S + T_0)$  has cardinality at most  $q^n - m_d$ . Let  $S_1$  be a subset of  $S$  of size  $q^n - m_d$  such that each  $u \in U$  is represented as  $s + t$  for some  $s \in S_1$ . Then taking  $S' = S_0 \cup S_1$  and  $T' = T_0$ , we have that  $S' + T \cup S + T' = S + T$ ; moreover,

$$|S'| + |T'| \leq 2m_{d/2} + q^n - m_d$$

and minimizing over  $d$  we get the desired result.  $\square$

**Question 4.** To what extent is the bound on  $|S'| + |T'|$  in Theorem 1 sharp?

**Question 5.** Does Theorem 1 have any nontrivial analogue in cyclic groups? For instance, using the symmetric formulation of Corollary 2 to simplify the statement, is there  $f(N) < N$  such that, given  $S \in \mathbb{Z}/N\mathbb{Z}$ , there is always a subset  $S' \subset S$  of size  $f(N)$  with  $S' + S = S + S'$ ? Behrend's example of a large subset of  $\mathbb{Z}/N\mathbb{Z}$  with no three-term arithmetic progressions shows that  $f(N)$  would have to be at least  $N^{1-\epsilon}$ .

## REFERENCES

- [CLP16] E. Croot, V. Lev, and P. P. Pach, *Progression-free sets in  $\mathbf{Z}_4^n$  are exponentially small* (2016). arXiv preprint 1605.01506.
- [EG16] J. S. Ellenberg and D. Gijswijt, *On large subsets of  $\mathbb{F}_q^n$  with no three-term arithmetic progression* (2016). preprint.
- [Mes85] R. Meshulam, *On the maximal rank in a subspace of matrices*, The Quarterly Journal of Mathematics **36** (1985), no. 2, 225–229.